



PTO/SB/81 (06-04)

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INDICATION FORM**

Application Number	10/619,975
Filing Date	July 15, 2003
First Named Inventor	Byron Vencent Bell
Title	Heater Chip Configuration for An Inkjet Printhead and Printer
Art Unit	2853
Examiner Name	Brooke, Michael S.
Attorney Docket Number	2001-0699.07

I hereby appoint:



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Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record** (if assignee, put name, title and company name in the "Name" space below)

Name	D. Brent Lambert, Esq. (Chief Patent Counsel, Lexmark International, Inc.)		
Signature			
Date	July 1, 2004	Telephone	(859) 232-7845

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.



\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Lexmark International, Inc.

Application No./Patent No.: 10/619,975 Filed/Issue Date: July 15, 2003

Entitled: Heater Chip Configuration For An Inkjet Printhead and Printer

Lexmark International, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014287, Frame 0489, or for which a copy thereof is attached.

**OR**

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

July 1, 2004

Date

(859) 232-7845

Telephone number

D. Brent Lambert, Esq.

Typed or printed name

[Signature]  
Signature

Chief Patent Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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2001-0699.07

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 30, 2004

PTAS



\*102506614A\*

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SCOTT N. BARKER  
740 W. NEW CIRCLE RD.  
LEXINGTON, KY 40550UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 07/15/2003

REEL/FRAME: 014287/0489

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BELL, BYRON VENCENT

DOC DATE: 05/09/2002

ASSIGNOR:

CORNELL, ROBERT WILSON

DOC DATE: 05/09/2002

ASSIGNOR:

GUAN, YIMIN

DOC DATE: 05/09/2002

ASSIGNEE:

LEXMARK INTERNATIONAL, INC.  
740 WEST NEW CIRCLE ROAD  
LEXINGTON, KENTUCKY 40550

SERIAL NUMBER: 10619975

FILING DATE: 07/15/2003

PATENT NUMBER:

ISSUE DATE:

014287/0489 PAGE 2

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

07-23-2003

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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102506614

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

BYRON VENCENT BELL  
ROBERT WILSON CORNELL  
YIMIN GUAN

7-15-03

## 2. Name and address of receiving party(ies)

Name: LEXMARK INTERNATIONAL, INC.

Internal Address: \_\_\_\_\_

Street Address: 740 WEST NEW CIRCLE ROAD

City: LEXINGTON State: KY Zip: 40550

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 05/09/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07/15/03

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SCOTT N. BARKER

Internal Address: 2002-0699.07

LEXMARK INTERNATIONAL, INC.

Street Address: 740 WEST NEW CIRCLE ROAD

City: LEXINGTON State: KY Zip: 40550

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

11-0978

07/22/2003 00000220 110978 10619975

01 FC:8031 40.00 DA

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## 9. Signature.

MICHAEL T. SANDERSON 43,082

Name of Person Signing

  
 Signature

 7-15-03  
 Date

Total number of pages including cover sheet, attachments, and documents: 3

 Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

21908 U.S. PTO

10/6/03

07/15/03

ASSIGNMENT OF INVENTION

For good and valuable consideration, the receipt of which is hereby acknowledged,

the ASSIGNORS:

Byron Vencent Bell  
741 Collins Road  
Paris, KY 40361  
US  
Citizenship: US

Robert Wilson Cornell  
4173 Palmetto Drive  
Lexington, KY 40513  
US  
Citizenship: US

Yimin Guan  
1108 Rolfe Lane  
Lexington, KY 40513  
US  
Citizenship: P.R. China

hereby sell, assign and transfer to

the ASSIGNEE:

Lexmark International, Inc  
740 West New Circle Road  
Lexington, KY 40509

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**Heater Chip Configuration For An InkJet Printhead And Printer**

which is disclosed in a U.S. patent application executed on even date herewith, and any legal equivalent thereof in a foreign country, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or other substitute thereof, and as to letters patent for any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.

Byron Vincent Bell  
Signature of Byron Vincent Bell

5/09/02  
Date

Robert Wilson Cornell  
Signature of Robert Wilson Cornell

5/9/02  
Date

Yimin Guan  
Signature of Yimin Guan

5/9/02  
Date

#### NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

Details of Country

US

and place of signing of assignment

Lexington, KY

Before me this 09 day of May 2002, personally appeared the above named individuals, to me known to be the persons described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

[Signature]  
Notary Public

4/11/2004

Commission Expires